

Seminar: Model Theory and Combinatorics

Lecturer

PROF. MARTIN HILS, DR. ROB SULLIVAN

Notes

JOSIA PIETSCH

Version

git: 1958e15

compiled: May 15, 2024 00:29

Contents

1	Introduction and Ultrahomogeneity	3
2	More Fraïssé: algebraic structures, other examples	10
3	ω-Categoricity and more Model Theory	10
4	ω-Categoricity and Ryll-Nardzewski	14
5	Ryll-Nardzewski II	18
	Index	22

These are my notes on the Seminar Model Theory and Combinatorics taught by PROF. MARTIN HILS and DR. ROB SULLIVAN in the summer term 2024 at the University Münster.

Warning 0.1. This is not an official script.

If you find errors or want to improve something, please send me a message: lecturenotes@jrpie.de.

1 Introduction and Ultrahomogeneity

1st talk, ROB SULLIVAN, 2024-04-09

Theorem 1.1 (Erdős-Rényi). Slogan: “Any two countably infinite random graphs are almost surely isomorphic”.

Let $0 < p < 1$. Then there exists a countably infinite graph R such that if we produce a graph Γ with $V(\Gamma) := \mathbb{N}$ and for each pair of vertices we put an edge with probability p then $\mathbb{P}[\Gamma \cong R] = 1$.

This graph R is called **the random graph**.

Proof of Theorem 1.1. We say that a graph Γ has the **witness property** (WP) iff for all pairs (U, V) of finite disjoint subsets of $V(\Gamma)$, we have $w \in \Gamma \setminus (U \cup V)$, such that w is adjacent to all elements of U and none of V .

Any graph with the witness property is infinite.

The proof is split into two steps:

Claim 1.1.1. $\mathbb{P}[\Gamma \text{ has WP}] = 1$.

Claim 1.1.2. *Any two countably infinite graphs with the witness property are isomorphic.*

Proof of Claim 1.1.1. We have

$$\begin{aligned} \mathbb{P}[\Gamma \text{ does not have WP}] &= \mathbb{P}[\exists U, V \text{ with no witness}] \\ &\leq \sum_{(U, V)} \mathbb{P}[(U, V) \text{ has no witness}] \end{aligned}$$

For a fixed node $w \in V(\Gamma) \setminus (U \cup V)$, the probability that w is not a witness for (U, V) is $1 - p^{|U|}(1 - p)^{|V|}$, hence $\mathbb{P}[(U, V) \text{ have no witness}] = 0$. \square

Explicit construction We give an explicit construction of a graph with the WP:¹ Let \mathbb{N} be the set of nodes. For $x, y \in \mathbb{N}$, we set $x \sim y$ iff the x^{th} digit of the binary expansion of y is 1 or the y^{th} digit of the binary expansion of x is 1.²

For U, V finite and disjoint, let $n := \max(U \cup V)$ and take $w \geq 2^n$ such that the binary digits of w are set appropriately.

Proof of Claim 1.1.2. Now let Γ and Γ' be countable graphs with the WP. We prove by “back-and-forth”³, that they are isomorphic:

Enumerate $V(\Gamma)$ as m_0, m_1, \dots and $V(\Gamma')$ as m'_0, m'_1, \dots . We construct a sequence of partial isomorphisms f_n (i.e. isomorphisms of a finite subset of Γ to a finite subset of Γ') such that

- (i) $f_{n+1} \supseteq f_n$,
- (ii) $m_t \in \text{dom } f_{2t}$,
- (iii) $m'_t \in \text{dom } f_{2t+1}$.

Given such partial isomorphisms, we can take $f := \bigcup f_t$.

Suppose we already have f_{2t-1} . If $m_t \in \text{dom } f_{2t-1}$ let $f_{2t} := f_{2t-1}$. Otherwise let U be the neighbourhood of m_t and $V := (\text{dom } f_{2t-1}) \setminus U$. Choose a witness w for $f_{2t-1}(U), f_{2t-1}(V)$ and set $f_{2t}(m_t) := w$. \square

\square

The proof of Claim 1.1.2 was very similar to the proof of

Theorem 1.2 (Cantor). Any two countably infinite DLOWEs^a are isomorphic.

^adense linear orders without endpoints

Dense and without endpoints is equivalent to:

For any $A \subseteq_{\text{fin}} M$ and any partition $A = U \cup V$, where $U < V$ ⁴ there exists $w \in M$ with $U < w < V$.

Idea. We want to generalize this.

Let \mathcal{L} be a countable relational language and M and \mathcal{L} -structure.

Definition 1.3. The **age** of M is the class of finite structures embeddable into M .

¹This is not necessary for the proof.

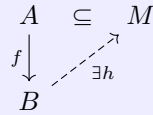
² $0 \in \mathbb{N}$ and indices start at 0

³maybe it should be called “forth-and-back”

⁴i.e. $\forall u \in U, v \in V. u < v$

We say that M has the **extension property (EP)** iff

$$\begin{aligned} &\forall A \subseteq_{\text{fin}} M. \\ &\forall f: A \rightarrow B \text{ embedding where } B \in \text{Age}(M). \\ &\exists h: B \rightarrow M \text{ embedding.} \\ &\forall a \in A. hf(a) = a \end{aligned}$$



Example 1.4. A simple induction shows that the age of R is the set of all finite graphs. The random graph has the extension property.

The extension property was the key ingredient to the proof of **Theorem 1.1**.

Proposition 1.5. Let M, M' be countable \mathcal{L} -structures with the same age and the EP. Then $M \cong M'$.

Proof. This is same as the proof of **Claim 1.1.2**. □

Remark 1.5.1. One needs to be more careful if \mathcal{L} contains constants and function symbols.

Proposition 1.6. Let M and M' be countable \mathcal{L} -structures with the same age and the EP.

Then any partial isomorphism $A \rightarrow A'$ where A and A' are finite substructures of M resp. M' extends to an isomorphism $M \rightarrow M'$.

Proof. Use $A \rightarrow A'$ as a starting point for the back-and-forth in the proof of **Proposition 1.5**. □

Definition 1.7. An \mathcal{L} -structure M is called **ultrahomogeneous**^a iff any isomorphism $f: A \rightarrow A'$ with $A, A' \subseteq_{\text{fin}} M$ extends to an automorphism of M .

^asometimes also **homogeneous**, but not in this seminar

Proposition 1.8. Let M be countable. Then M has the EP iff M is

ultrahomogeneous.

Proof. “ \implies ” Take $M = M'$ in [Proposition 1.6](#).

“ \impliedby ” Let A, B be substructures of M $f: A \rightarrow B$. Then $f: A \rightarrow f(A)$ is isomorphism, hence it extends to an isomorphism h of M and we can take

$$\begin{array}{ccc} A & \subseteq & M \\ f \downarrow & \nearrow h^{-1} & \\ B & & \end{array}$$

missing image

□

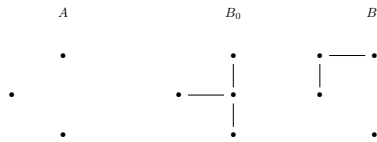
Question 1.8.2. Can we detect ultrahomogeneity from the age?

Question 1.8.3. When do we have these nice universal^a objects, i.e. what classes of structures are ages of an ultrahomogeneous model?

^asometimes called generic

Example 1.9. Let X be the class of finite forests.^a

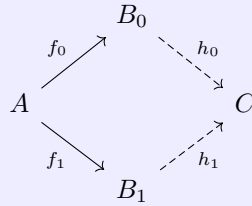
Suppose that M is a countable ultrahomogeneous structure with age k .



We have $A \subseteq M$. $A \hookrightarrow B_0$ and $A \hookrightarrow B_1$. But now we get a contradiction, since simultaneously embedding A into a copy B_0 and B_1 , we get a circle.

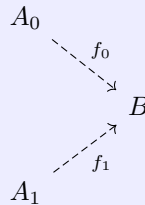
^aA **forest** is a graph without cycles. A **tree** is a connected forest.

Definition 1.10. A class \mathcal{K} of finite \mathcal{L} -structures has the **amalgamation property (AP)** iff for all embeddings $f: A \rightarrow B_0$, $f_1: A \rightarrow B_1$ of finite structures in \mathcal{K} , there exists $C \in \mathcal{K}$ with embeddings $h_0: B_0 \rightarrow C$, $h_1: B_1 \rightarrow C$ such that $\forall a \in A. h_0 f_0(a) = h_1 f_1(a)$



The AP is the real meat.

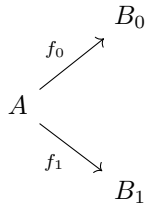
Definition 1.11. We say that \mathcal{K} has **JEP** (**joint embedding property**) iff for all $A_0, A_1 \in \mathcal{K}$, there exists $B \in \mathcal{K}$ and embeddings $f_0: A_0 \rightarrow B$, $f_1: A_1 \rightarrow B$.



The JEP is a property we expect from every reasonable class.

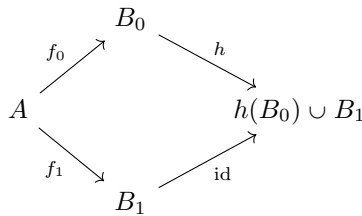
Proposition 1.12. Let M be a countable ultrahomogeneous structure. Then $\text{Age}(M)$ has AP.

Proof. Consider



We can assume $B_0, B_1 \subseteq M$. The isomorphism $f_0(a) \mapsto f_1(a)$ can be extended to $h \in \text{Aut}(M)$. Then

missing picture



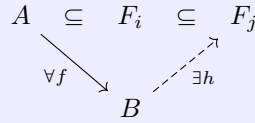
completes the diagram. □

Definition 1.13. A hereditary^a class \mathcal{K} of finite \mathcal{L} -structures with the AP, JEP and countably many isomorphism types is called an **amalgamation class** (or **Fraïssé class**).

^aclosed under taking substructures, i.e. if $B \in \mathcal{K}$ and $A \hookrightarrow B$ then $A \in \mathcal{K}$

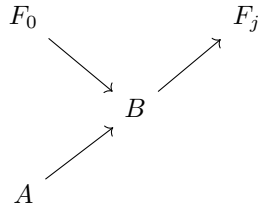
Theorem 1.14 (Fraïssé's Theorem). Let \mathcal{K} be an amalgamation class. Then there exists a countable ultrahomogeneous M with $\text{Age}(M) = \mathcal{K}$.

Definition 1.15. Let \mathcal{K} be an amalgamation class. An increasing chain $F_0 \subseteq F_1 \subseteq \dots \subseteq$ of structures in \mathcal{K} is called a **Fraïssé sequence** (or **rich sequence**) iff for each F_i and for each $A \subseteq F_i$ and each embedding $f: A \rightarrow B$ with $B \in \mathcal{K}$, there exists $j > i$ and an embedding $h: B \rightarrow F_j$ such that the diagram commutes.

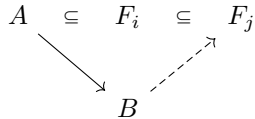


Lemma 1.16. Let \mathcal{K} be an amalgamation class. Let $(F_i)_{i < \omega}$ be a Fraïssé-sequence. Then $M = \bigcup_{i < \omega} F_i$ is ultrahomogeneous and $\text{Age}(M) = \mathcal{K}$.

Proof. Let $A \in \mathcal{K}$. Use the JEP on A and F_0 to get B . Since the F_i form a Fraïssé-sequence, we get $B \hookrightarrow F_k$ for some k .



M has the EP:

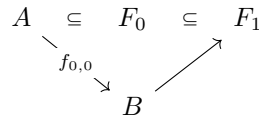


□

Proof of Theorem 1.14. By Lemma 1.16 it suffices to build a Fraïssé-sequence. Let $\sigma: \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$ be a bijection such that if $\sigma(n) = (i, j)$, then $n \geq i$. This is called a “scheduling function”.

Take $F_0 \in \mathcal{K}$. List all embeddings $f_{0,0}, f_{0,1}, \dots$ of finite substructures of F_0 into other structures of \mathcal{K} (up to isomorphism).

At stage F_k , deal with the embedding $f_{\sigma(k)}$ using AP.



□

Example 1.17. Consider the class of finite graphs. This has AP: Let $A \hookrightarrow B_0, A \hookrightarrow B_1$. Take the free amalgam of B_0 and B_1 along A .

So by Fraïssé’s Theorem (1.14) we get the random graph.

Example 1.18. Consider the class of triangle-free finite graphs. Note that the free amalgam does not create triangles, so Fraïssé’s Theorem (1.14) can be applied. The resulting graph is called the **Henson graph** H_3 (or “triangle-free random graph”^a).

^athis is a bad name

For the Henson graph the analogous statement to the witness property is:

$\forall U, V$ finite and disjoint and U edge free, there exists w such that ...

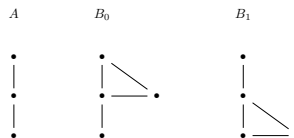
The same thing can also be done to obtain the K_n -free random graph.

Example 1.19. Non-examples are:

- finite forests
- finite bowtie-free graphs, i.e. not containing



as a graph-theoretic subgraph. Consider



Theorem 1.20 (Lachlan-Woodrow). Any ultrahomogeneous countably infinite graph is

- the random graph R ,
- H_n , the K_n -free graph or
- the disjoint union of K_n s (where maybe $n = \infty$)

or its complement.

We will not prove this in this seminar.

2 More Fraïssé: algebraic structures, other examples

2nd talk, 2024-04-16, Zahra Mohammadi

TODO

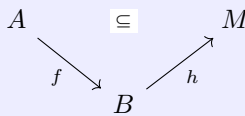
3 ω -Categoricity and more Model Theory

3rd talk, 2024-04-30, Rob Sullivan

We again consider relational structures.

Definition 3.1. A Fraïssé structure M has the **strong EP** if

$$\begin{aligned} &\forall A \overset{\text{finite}}{\subseteq} M. \\ &\forall A \xrightarrow{f} B \text{ with } B \in \text{Age}(M). \\ &\forall V \overset{\text{finite}}{\subseteq} M \text{ disjoint from } A. \\ &\exists \text{ embedding } h: B \rightarrow M \\ &\text{such that} \end{aligned}$$



and h avoids V (i.e. $\text{im } h \cap V = \emptyset$).

Lemma 3.2. Let M be a Fraïssé structure. Then M has the strong EP iff $\text{Age}(M)$ has the strong AP.

Proof.

□

TODO

Lemma 3.3. Let M be a Fraïssé structure. Then $\text{Age}(M)$ has the strong AP iff $\forall V \stackrel{\text{finite}}{\subseteq} M. M \setminus V \cong M$.

Proof. \implies Take $A \in \text{Age}(M)$. Use the strong EP over \emptyset avoiding V to get a copy of A inside $M \setminus V$. So $\text{Age}(M \setminus V) = \text{Age}(M)$. The strong EP for M implies that $M \setminus V$ has EP. Use Fraïssé's theorem.

\impliedby The condition immediately implies strong EP. \square

Example 3.4. Let M be the disjoint union of two copies of the random graph. For all $V \stackrel{\text{finite}}{\subseteq} M$, we have $M \setminus V \cong M$. However M is not ultrahomogeneous.

Lemma 3.5 (Neumann). Let $G \curvearrowright \Omega$ be a group action without finite orbits.

Then for all $A, B \stackrel{\text{finite}}{\subseteq} \Omega$, there exists $g \in G$ such that $gA \cap B = \emptyset$.

Proof. If $|A| = 1$, this is trivial.

Since there are no finite orbits, for all $A \stackrel{\text{finite}}{\subseteq} \Omega$ the set $\{gA : g \in G\}$ is infinite.

Fix B and do induction on $|A|$.

We'll only look at some finite cases. Let $|A| = 2$. Suppose that every translate of A intersects B . For $x \in \Omega$, let T_x be the set of translates of A containing x .

Let $b_0 \in B$. It suffices to show that T_{b_0} is finite (since then it follows that the set of translate of A is finite, which is a contradiction).

By the case of $|A| = 1$, there there exists $g_0 \in G$ such that $g_0 b_0 \notin B$. For $gA \in T_{g_0 b_0}$, we have $gA \cap b \neq \emptyset$, so as $|A| = 2$ and B is finite, so $T_{g_0 b_0}$ is finite, hence T_{b_0} is finite.

Let $|A| = 3$ Let $b_0 \in B$, Then there exists $b_0 \in G$ such that $g_0 b_0 \notin B$. Each $gA \in T_{g_0 b_0}$ has $gA \cap B \neq \emptyset$. So $T_{g_0 b_0} = \bigcup_{b_1 \in B} T_{g_0 b_0, b_1}$. From the case of $|A| = 2$, there exists g_1 with $g_1 g_0 b_0, g_1 b_1 \notin B$. It follows that $T_{g_1 g_0 b_0, g_1 b_1}$ is finite.

The general case is left as an exercise. \square

Definition 3.6. Let $G \curvearrowright \Omega$ be a group action and $A \stackrel{\text{finite}}{\subseteq} \Omega$. The **finite-orbit closure**, $\text{fcl}(A)$, is the union of the finite orbits of the pointwise stabilizers $G_{(A)}$ of A .

Note that $A \subseteq \text{fcl}(A)$. We say that $\text{fcl}(A)$ is trivial iff $\text{fcl}(A) = A$.

Proposition 3.7. Let M be a Fraïssé-structure. Then M has strong amalgamation iff $\forall A \stackrel{\text{finite}}{\subseteq} M, \text{fcl}(A) = A$.

Proof. “ \implies ” Take $m \in M \setminus A$. Let $k \geq 1$. Take the strong amalgam of k instances of $A \hookrightarrow A \cup \{m\}$. Then use the EP over A . So $\text{Orb}_{G(A)}(M)$ is infinite.

“ \impliedby ”

Work inside M . We can assume $A \subseteq B_0 \cap B_1 \subseteq M$. Consider $G(A) \curvearrowright M \setminus A$ and apply [Neumann’s Lemma \(3.5\)](#). \square

Let’s get formulae involved.

Question 3.7.4. Recall $\mathcal{L}_{\text{graph}} = \{\sim\}$. What graph-theoretic properties are axiomatizable in first-order logic? I.e. given a graph property P , is there a theory T such that $\Gamma \models T \iff \Gamma$ has property P for all graphs Γ .

- Being bipartite is axiomatizable, since a graph is bipartite iff it does not contain a circle of odd diameter.

(Note that we can not directly talk about partitions in first-order formulae.)

- Not being bipartite is not axiomatizable, since being bipartite but not containing a cycle of length $\leq n$ is consistent.
- Connectedness and not-connectedness are not axiomatizable:

Let T be the theory saying that every vertex has degree 2 and the graph is acyclic. T has no finite models. If $\Gamma \models T$, then Γ consists of disjoint copies of \mathbb{Z} . So T is κ -categorical for κ uncountable. Hence T is complete by [Vaught’s Test \(3.9\)](#). In particular, $\text{Th}(\mathbb{Z}) = \text{Th}(\mathbb{Z} \sqcup \mathbb{Z})$.

Definition 3.8. Let \mathcal{L} be a language (not necessarily relational). Let $\kappa \geq |\mathcal{L}|$ be a cardinal. Let T be an \mathcal{L} -theory with infinite models. Then T is κ -categorical iff all models of T of cardinality κ are isomorphic.

Theorem 3.9 (Vaught’s test). Let T be a consistent κ -categorical theory with no finite models. Then T is complete.

Proof. Let M, N be models of T . Using Löwenheim-Skolem, we can find M', N' with $\text{Th}(M') = \text{Th}(M)$ and $\text{Th}(N') = \text{Th}(N)$ such that $|M'| = |N'| = \kappa$. Then $M' \cong N'$, hence $M \equiv N$. \square

Theorem 3.10 (Tarski's test). If \mathcal{B} is an \mathcal{L} -structure with domain B and $A \subseteq B$. Then A is the domain of an elementary substructure of B iff for every formula $\varphi(x)$ with $\mathcal{B} \models \varphi(b)$ for some $b \in B$, we have $\mathcal{B} \models \varphi(a)$ for some $a \in A$.

Definition 3.11. Let \mathcal{L} be a language (not necessarily relational). Let T be an \mathcal{L} -theory. Let $\Sigma(x)$ be a set of formulae. We say that $\Sigma(x)$ is **isolated mod T** iff there exists an \mathcal{L} -formula $\varphi(x)$ such that

- $T \cup \{\varphi(x)\}$ is consistent.
- For $\sigma(x) \in \Sigma(x)$, $T \models \forall x.(\varphi(x) \rightarrow \sigma(x))$.

Example 3.12. Let T be a complete theory. Assume that $\Sigma(x)$ is isolated mod T . Then every model of T realizes $\Sigma(x)$, i.e. $(\exists x)\varphi(x) \in T$.

A key tool for [Theorem 4.12](#) is:

Theorem 3.13 (Omitting types theorem). Let \mathcal{L} be countable. Let T be a consistent theory. Let $\Sigma(x)$ be a set of formulae not isolated mod T . Then T has a model not realising (“**omitting**”) $\Sigma(x)$.

Proof. Pick a countably infinite set \mathcal{C} of new constants. We'll extend T to an $\mathcal{L}(\mathcal{C})$ -theory T^* such that

- T^* is a Henkin theory, i.e. for every $\mathcal{L}(\mathcal{C})$ formula $\varphi(x)$, there is $c \in \mathcal{C}$ such that $(\exists x. \varphi(x)) \rightarrow \varphi(c) \in T^*$.
- For all $c \in \mathcal{C}$, there is $\sigma(x) \in \Sigma(x)$ with $\neg\sigma(c) \in T^*$.

We construct T^* inductively as the union of an increasing chain of consistent theories $T = T_0 \subseteq T_1 \subseteq \dots$. At each stage $T_n \subseteq T_{n+1}$ we add finitely many $\mathcal{L}(\mathcal{C})$ -sentences. Enumerate $\mathcal{C} = \{c_i : i < \omega\}$ and enumerate all $\mathcal{L}(\mathcal{C})$ -formulae in x as $\{\psi_i(x) : i < \omega\}$.

Given T_{2i} , take a constant $c \in \mathcal{C}$ not occurring in $T_{2i} \cup \{\psi_i(x)\}$. Let $T_{2i+1} := T_{2i} \cup \{(\exists x. \psi_i(x)) \rightarrow \psi_i(c)\}$.

Given $T_{2i+1} = T \cup T$, let $\delta(c_i, \bar{c}) = \bigwedge F$, $\bar{c} \subseteq \mathcal{C}$ $\bar{c} \not\ni c_i$. Then $\delta(x, \bar{y})$ is an $\mathcal{L}(\mathcal{C})$ -formula and

$$\exists \bar{y} \delta(x, \bar{y})$$

is consistent with T , but it does not isolate $\Sigma(x)$.

So there exists $\sigma(x) \in \Sigma(x)$ and a model $M \models T$ with $M \models (\exists x). (\exists \bar{y}. \delta(x, \bar{y}) \wedge \neg\sigma(x))$.

Let $T_{2i+2} = T_{2i+1} \cup \{\neg\sigma(c_i)\}$. Then T_{2i+2} is consistent.

Let $(\mathcal{B}, (a_c)_{c \in \mathcal{C}}) \models T^*$.

Let $A := \{a_c : c \in \mathcal{C}\}$. By **Tarski's Test (3.10)** and since T^* is Henkin, this is an elementary substructure of \mathcal{B} , \square

4 ω -Categoricity and Ryll-Nardzewski

4th talk, PROF. HILS, 2024-05-07

Question 4.0.5. What is special about \aleph_0 with respect to categoricity?^a

^aThe name ω -categoricity is used for historical reasons, but of course “ \aleph_0 -categoricity” would be a better name.

Motivation:

Example 4.1. Let $\mathcal{L} = \{f, P\}$, where f is a unary function and P a unary predicate. Let T be the theory saying that models are infinite, $f \circ f = \text{id}$ and $\forall x. x \in P \iff f(x) \notin P$.

This is κ -categorical for every $\kappa \geq \aleph_0$. Let $\mathcal{L}' := \{P\}$. Let $T' := T|_{\mathcal{L}'}$.

T' is ω -categorical, but not κ -categorical for $\kappa > \aleph_0$.

Note that ω -categoricity passes to reducts, but this is not the case for $\kappa > \omega$.

Definition 4.2. A **complete n -type** in T , $p(x_1, \dots, x_n)$ is a maximal finitely satisfiable set of \mathcal{L} -formulas $\varphi(x_1, \dots, x_n)$.

Let $S_n(T)$ be the set of all complete n -types.

Let $A \subseteq \mathcal{M} \models T$, $T_A := \text{Th}(\mathcal{M}_A)$. Let $S_n(T_A) =: S_n(A)$.

(With this notation we also write $S_n(\emptyset) := S_n(T)$).

Example 4.3. For $\bar{b} \in \mathcal{M}^n$ and $A \subseteq \mathcal{M}$, we set

$$\text{tp}^m(\bar{b}/A) := \{\varphi(\bar{x}) \text{ } \mathcal{L}_A\text{-formula} : S_n(A) \ni M \models \varphi[\bar{b}]\}.$$

Any $p \in S_n(A)$ is of this form: By compactness, there exists $\mathcal{N} \geq \mathcal{M}$, such that $\exists \bar{b} \in \mathcal{N}^n. \text{tp}(\bar{b}/A) = p$.

Definition 4.4. The **stone topology** on $S_n(T)$ is the topology with basis of open sets given by $\langle \varphi \rangle \subseteq S_n(T)$, for $\varphi(x_1, \dots, x_n)$ is an \mathcal{L} -formula and $\langle \varphi \rangle := \{p : \varphi \in p\}$.

Fact 4.4.6. $S_n(T)$ is compact, Hausdorff and totally disconnected. (It even is profinite)

Remark 4.4.7.

- (1) $p \in S_n(T)$ is **isolated** iff there exists φ , such that $\langle \varphi \rangle = \{p\}$. This is equivalent to p being (topologically) isolated in $S_n(T)$.
- (2) Exercise: If \bar{a}, \bar{b} are finite tuples from $\mathcal{M} \models T$, then $\text{tp}(\bar{a}, \bar{b})$ is isolated iff $\text{tp}(\bar{b})$ and $\text{tp}(\bar{a}/\bar{b})$ are both isolated.

Definition 4.5.

- \mathcal{M} is **atomic** iff it only realizes isolated n -types for all $n \geq 0$.
- \mathcal{M} is **ω -saturated** iff for all $A \stackrel{\text{finite}}{\subseteq} \mathcal{M}$ all $p \in S_n(A)$ are realized in \mathcal{M} .
(equivalently this holds for all $p \in S_1(A)$).

Fact 4.5.8. For every $\mathcal{M} \models T$ there exists an elementary extension $\mathcal{N} \geq \mathcal{M}$ which is ω -saturated.

Proof. Catch your own tail: Take $\mathcal{M}_1 \geq \mathcal{M}$ realizing all $p \in S_1(A)$ for all $A \stackrel{\text{finite}}{\subseteq} \mathcal{M}$ (this exists by compactness) and similarly $\mathcal{M}_{n+1} \geq \mathcal{M}_n$.

Let $\mathcal{N} := \bigcup_{i < \omega} \mathcal{M}_i$. □

Definition 4.6. If

$$\begin{array}{ccc} A & \longrightarrow & A' \\ \text{in} & & \text{in} \\ \mathcal{M} & & \mathcal{M}' \end{array}$$

where $\mathcal{M}, \mathcal{M}' \models T$, f is called a **partial elementary** embedding if it preserves formulas with variables from A , i.e.

$$\mathcal{M} \models \varphi(\bar{a}) \iff \mathcal{M}' \models \varphi(f(\bar{a}))$$

for all $\bar{a} \in A^n$, i.e. $\text{tp}(A) = \text{tp}(f(A)) = \text{tp}(A')$.

Definition 4.7. \mathcal{M} countable is called **homogeneous** iff every partial elementary $f: A \rightarrow B$, $A, B \stackrel{\text{finite}}{\subseteq} \mathcal{M}$ extends to $\sigma \in \text{Aut}(\mathcal{M})$.

Proposition 4.8.

- (1) Let $\mathcal{M}, \mathcal{N} \models T$, \mathcal{M} countable atomic.

Then any $f: A \rightarrow \mathcal{N}$ partial elementary with $A \stackrel{\text{finite}}{\subseteq} \mathcal{M}$ extends to an elementary embedding $F: \mathcal{M} \xrightarrow{\sim} \mathcal{N}$.

- (2) If \mathcal{M} and \mathcal{N} are both atomic then any f as in (1) extends to an isomorphism. In fact the atomic countable model is unique if it exists.
- (3) \mathcal{M} countable atomic $\implies \mathcal{M}$ homogeneous.

Proof. Let $f: A \rightarrow \mathcal{N}$. Let $\mathcal{M} = \{a_i | i \in \mathbb{N}\}$. Given $f_k: \{a_0, \dots, a_h\} \rightarrow \mathcal{N}$ partial elementary. Consider $\text{tp}(a_{h+1}/a_{\leq h})$ this is isolated by assumption. Hence f_{h*} is an isolated type in $S_1(\text{im}(f_h))$. Let b_{h+1} be a realization, $a_{h+1} \mapsto b_{h+1}$.

(2) is a symmetric version of this and (3) is a special case of (2). \square

Proposition 4.9.

- (1) Let $\mathcal{M}, \mathcal{N} \models T$, \mathcal{M} countable, \mathcal{N} ω -saturated. Then every $f: A \rightarrow \mathcal{N}$ partial elementary, where $A \stackrel{\text{finite}}{\subseteq} \mathcal{M}$ extends to $\tilde{f}: \mathcal{M} \xrightarrow{\sim} \mathcal{N}$.
- (2) Let \mathcal{M}, \mathcal{N} be countable and ω -saturated. Then every f as in (1) extends to an isomorphism.
In particular, a countable ω -saturated model is unique (if it exists).
- (3) If \mathcal{M} is countable and ω -saturated, then \mathcal{M} is homogeneous.

Proof. (1) is basically the same as before using ω -saturation of \mathcal{N} .
Again (2) is a symmetric version and (3) a special case. \square

Example 4.10.

- Consider ACF_0 . \mathbb{Q}^{alg} is atomic. Let $\{t_i | i \in \mathbb{N}\}$ be algebraically independent. Then $\mathbb{Q}(t_i | i \in \mathbb{N})$ is ω -saturated.
- Consider infinite \mathbb{Q} -vector spaces. \mathbb{Q} is atomic. $\mathbb{Q}^{(\mathbb{N})}$ is ω -saturated.
- Graphs: \mathbb{Z} is atomic, $\coprod_{i < \omega} \mathbb{Z}$ is ω -saturated.
- $\text{DOAG}^a = \text{Th}(\mathbb{Q}, +, -, \leq, 0)$: \mathbb{Q} is atomic. However no ω -saturated countable model exists, since $|S_2(T)| = 2^{\aleph_0}$ (reals can be coded in 2-types).

^adivisible ordered abelian groups

Observe. If $G \curvearrowright X$, we get $G \curvearrowright X^n$ via $g.(x_1, \dots, x_n) := (gx_1, \dots, gx_n)$.

Definition 4.11. A group action $G \curvearrowright X$ is called **oligomorphic** if for every n , $G \curvearrowright X^n$ has finitely many orbits.

Observe.⁵ $X \subseteq \mathcal{M}^n$ is $\text{Aut}(\mathcal{M})$ -invariant iff it is the union of $\text{Aut}(\mathcal{M})$ -orbits.

Theorem 4.12 (Ryll-Nardzewski, Engler, Svenonius). Let T, \mathcal{L} as above.

The following are equivalent:

- (1) T is ω -categorical.
- (2) Any $p \in S_n(T)$ is isolated for all $n \in \mathbb{N}$.
- (3) $S_n(T)$ is finite for all n .
- (4) There are infinitely many \mathcal{L} -formulae $\varphi(x_1, \dots, x_n)$ up to \sim_T .
- (5) There exists $\mathcal{M} \models T$ countable, such that $\text{Aut}(\mathcal{M}) \curvearrowright \mathcal{M}$ is oligomorphic.
- (6) There exists $\mathcal{M} \models T$ countable such that all $\text{Aut}(\mathcal{M})$ -invariant $X \subseteq \mathcal{M}^n$ are \emptyset -definable (i.e. $X = \varphi[M]$).
- (7) There exists $\mathcal{M} \models T$ countable such that \mathcal{M} only realizes finitely many n -types for all $n \in \mathbb{N}$.

Proof. (1) \implies (2): Suppose not, i.e. $p \in S_n(T)$ is not isolated. Then there exists a countable model $\mathcal{M} \models T$ realizing p (Löwenheim-Skolem, compactness). By the **Omitting Types Theorem (3.13)**, there exists $\mathcal{N} \models T$ countable omitting p . $\mathcal{M} \cong \mathcal{N} \not\downarrow$.

(2) \iff (3) \iff (4) are obviously equivalent.

Assume (2). For $\mathcal{M} \models T$ countable, we then have $\text{tp}(\bar{a}) = \text{tp}(\bar{b})$, $\bar{a}, \bar{b} \in \mathcal{M}^n$ iff there exists $\sigma \in \text{Aut}(\mathcal{M})$ such that $\sigma(\bar{a}) = \bar{b}$. (here we use that \mathcal{M} is atomic and hence homogeneous). So $\text{Aut}(\mathcal{M})$ -orbits in \mathcal{M}^n correspond to n -types realized in \mathcal{M} . We get (2) \implies (5): By (2) \implies (3), we get that $S_n(T)$ is finite.

(2) \implies (6): By (2) \implies (3), every Aut -invariant subset $X \subseteq \mathcal{M}^n$ is a finite union of realizations of isolated types.

(6) \implies (7): Let \mathcal{M} be as in (6). Suppose \mathcal{N} realizes infinitely many n -types p_1, p_2, \dots . For $I \subseteq \mathcal{N}$ let $Y_I := \bigcup_{i \in I} p_i[\mathcal{M}]$. This gives rise to 2^{\aleph_0} invariant subsets. But \mathcal{L} is countable.

(7) \implies (3):

Set set of types $p \in S_n(T)$ realized in \mathcal{M} is dense in $S_n(T)$. So everything, by finiteness. (5) \implies (7): trivial.

(2) \implies (1): Countable atomic models are unique by the proposition. \square

⁵or use this as a definition.

Example 4.13. (1) Let $\mathcal{L}_{\text{gp}} = \{e, \circ\}$ be the language of groups and G infinite and ω -categorical. Then there exists n such that $g^n = e$ for all $g \in G$, i.e. G is of finite exponent.

Indeed, there can be only finitely many finite orders. There exists no element g of infinite order, as otherwise, (g, g^n) would be n distinct 2-types.

(2) No infinite field is ω -categorical.

By (1), characteristic 0 is impossible. If K is infinite, then then for all $n \in \mathbb{N}$, there exists $x \in K^*$ with $x^n \neq 1$.

(3) If \mathcal{L} is a finite language without function symbols, and T a complete \mathcal{L} -theory with infinite models and quantifier elimination, then T is ω -categorical.

E.g. an ultrahomogeneous structure in a finite language without function symbols. E.g. $\text{Fr}(K)$, where K is a Fraïssé class in \mathcal{L} as before.

Corollary 4.14. If T is an ω -categorical \mathcal{L} -theory and $\mathcal{L}' \subseteq \mathcal{L}$, then $T' := T|_{\mathcal{L}'}$ is ω -categorical.

5 Ryll-Nardzewski II

5th talk, MARTIN HILS, 2024-05-14

Let \mathcal{L} be countable.

Recall that if \mathcal{M} is a countable, ultrahomogeneous \mathcal{L} -structure in a finite language without function symbols, then $\text{Th}(\mathcal{M})$ is ω -categorical.

Indeed, $\text{Aut}(\mathcal{M}) \curvearrowright \mathcal{M}$ is oligomorphic in this case.

Now we want to consider languages that also have function symbols:

Definition 5.1. Let \mathcal{L} be finite (possibly including function symbols). A class \mathcal{K} of finitely generated \mathcal{L} -structures is called **uniformly locally finite** if there exists $f: \mathbb{N} \rightarrow \mathbb{N}$ such that for all $A \in \mathcal{K}$ generated by n elements we have $|A| \leq f(n)$.

An infinite \mathcal{L} -structure \mathcal{M} is called **uniformly locally finite** if $\text{Age}(\mathcal{M})$ is.

Recall from last week: If G is an ω -categorical group, then G is of finite exponent. By the same argument we get the following:

Lemma 5.2. Let \mathcal{L} be a countable language. If $\text{Th}(\mathcal{M})$ is ω -categorical,

then \mathcal{M} is uniformly locally finite.

Recall:

Definition 5.3. If $A \subseteq \mathcal{M}$, then the model-theoretic algebraic closure is defined

$$\text{acl}(\mathcal{M}) = \bigcup_{\substack{\varphi \mathcal{L}_A\text{-formula} \\ \varphi[M] \text{ finite}}} \varphi[M].$$

We have $A \subseteq \langle A \rangle \subseteq \text{dcl}(A) \subseteq \text{acl}(A) = \text{acl}(\text{acl}(A))$.

Example 5.4. In vector spaces, this is the linear span. In ACF it is the field theoretic algebraic closure, hence the name.

Definition 5.5. \mathcal{M} is said to have **uniformly finite algebraic closure** iff there exists $g: \mathbb{N} \rightarrow \mathbb{N}$ such that for all $A \overset{\text{finite}}{\subseteq} \mathcal{M}$ we have $|\text{acl}(A)| \leq g(|A|)$.

Lemma 5.6 (Lemma 5.2'). If \mathcal{M} is ω -categorical, then \mathcal{M} has uniformly finite algebraic closure.

Proof. If $\text{tp}(a_1, \dots, a_n) = \text{tp}(b_1, \dots, b_n)$, then $\text{acl}(a_1, \dots, a_n) \cong \text{acl}(b_1, \dots, b_n)$.

Hence uniform finiteness of acl follows from finiteness of $\text{acl}(a_1, \dots, a_n)$. Towards a contradiction assume that $\text{acl}(a_1, \dots, a_n) =: \tilde{A}$ is infinite, say $\tilde{A} = (b_i)_{i \in \mathbb{N}}$. If $\text{tp}(a_1, \dots, a_n, b_j) = \text{tp}(a_1, \dots, a_n, b_k)$, there exists an \mathcal{L}_A -formula $\varphi(x)$ with $\varphi[M]$ finite, such that $b_j, b_k \in \varphi[M]$. Hence there are infinitely many different types of the form $\text{tp}(a_1, \dots, a_n, b_j) \not\equiv$. \square

Recall:

Definition 5.7. T has **quantifier elimination** (QE) if every \mathcal{L} -formula $\varphi(X_1, \dots, X_n)$ is equivalent modulo T to a quantifier free formula.

Proposition 5.8. Suppose that \mathcal{L} is finite and \mathcal{M} a countably infinite \mathcal{L} -structure. Then the following are equivalent:

- (1) \mathcal{M} is ultrahomogeneous and uniformly locally finite.
- (2) \mathcal{M} is ω -categorical and has QE.

Proof. (1) \implies (2): Since there are only finitely many n -generated substructures, there are only finitely many orbits of $\text{Aut}(\mathcal{M}) \curvearrowright \mathcal{M}$ by ultrahomogeneity.

Since $\text{tp}(\bar{a}) = \text{tp}(\bar{b}) \iff \langle \bar{a} \rangle = \langle \bar{b} \rangle$, we get that $\{\text{tp}(\bar{a})\} = \langle \varphi \rangle$ for some quantifier free formula φ .

Every \mathcal{L} -formula is equivalent modulo T to a finite disjunction of such formulae.

Alternative proof of QE:

Fact 5.8.9. Let T be a theory such that for all $\mathcal{M}, \mathcal{N} \models T$, $A \subseteq \mathcal{M}, \mathcal{N}$ a finitely generated common substructure, \mathcal{N} ω -saturated, $b \in \mathcal{M}$, there is $b' \in \mathcal{N}$ such that $\langle A, b \rangle = \langle A, b' \rangle$ via $b \mapsto b'$.

(2) \implies (1): We already know uniformly locally finite by [Lemma 5.2](#) (this does not need QE).

A \mathcal{M} is ω -saturated (by ω -categoricity) and we have QE, it follows that we have the extension property. This implies ultrahomogeneity. \square

Proof (Alternative Proof). Let A be a finite \mathcal{L} -structure generated by (a_1, \dots, a_n) . Then there is a quantifier free formula $\psi_{A, \bar{a}}(x_1, \dots, x_n)$ (given by the simple diagram of A) such that for all $\bar{b} = (b_1, \dots, b_n)$, $\bar{b} \in \mathcal{N}$, then $\mathcal{N} \models \psi_{A, \bar{a}}(\bar{b})$ iff $\bar{b} \mapsto \bar{a}$ defines an isomorphism $\langle \bar{b} \rangle \cong \langle \bar{a} \rangle$. Now if $\text{Age}(\mathcal{M})$ is uniformly locally finite, there are only finitely many substructures of \mathcal{M} generated by n elements up to isomorphism.

- Let U_0 be the following set of \mathcal{L} -sentences:

$$\forall \bar{x}. (\psi_{A, \bar{a}}(\bar{x}) \rightarrow \exists y. \psi_{B, \bar{a} \hat{\ } b}(\bar{x}, y)),$$

where $A = \langle a_1, \dots, a_n \rangle \subseteq B = \langle a_1, \dots, a_n, b \rangle$. For $n = 0$ take $\exists y. \psi_{B, b}(y)$.

- Let U_1 be the following set of sentences:

$$\forall x_1, \dots, x_n. \bigvee_{\substack{A, \bar{a} \\ |\bar{a}|=n}} \psi_{A, \bar{a}}(x_1, \dots, x_n).$$

If $\mathcal{N} \models U_0 \cup U_1$ is countably infinite, then $\mathcal{N} \cong \mathcal{M}$ as \mathcal{N} is the Fraïssé limit of $\text{Age}(\mathcal{M}) = \text{Age}(\mathcal{N})$. \square

Example 5.9. • Let K be the class of all finite groups. K is locally finite, but not uniformly locally finite. K is a Fraïssé class (cf. [section 2](#)) $\text{Fr}(K)$ is not ω -categorical (since it is not of finite exponent). (it also does not have QE, but we don't want to check this).

- Let K be the class of all finite abelian groups. Then $\text{Fr}(K) = \bigoplus_{i \in \mathbb{N}} (\mathbb{Q}/\mathbb{Z})$. $\text{Th}(\text{Fr}(K))$ has QE (since it is divisible).

We have $\bigoplus_{n \in \mathbb{N}} \mathbb{Q}/\mathbb{Z} \leq \bigoplus_{n \in \mathbb{N}} \mathbb{Q}/\mathbb{Z} \oplus \bigotimes_{j \in J} \mathbb{Q}$, so it is not ω -categorical. (We already knew this since it is not uniformly locally finite.)

- Fix $n \geq 2$. Let K_n be the class of all finite abelian groups of expo-

ment n . Exercise (easy): K_n is a Fraïssé class. We have $\text{Fr}(K_n) \cong \bigoplus_{i \in \mathbb{N}} \mathbb{Z}/n =: A_n$.

This is ω -categorical, but not always \aleph_1 -categorical. It is \aleph_1 -categorical iff $n = p^m$ for some prime p .

Let $n = p_1 \cdots p_l$. We have $A_n \geq p_1 A_n \geq p_1 p_2 A_n \geq \dots \geq 0$. Hence $\text{MR}(\bigoplus_{i \in \mathbb{N}} \mathbb{Z}/n\mathbb{Z}) \geq l$ (in fact equality holds).

- Generic BLF: a linear algebra “analogue” of the random graph.

Fix a prime p . Consider the class K of (V, \mathbb{F}_p, β) , where V is a finite dimensional \mathbb{F}_p -vector space, $\beta: V^2 \rightarrow \mathbb{F}_p$ is a symmetric bilinear form.

This is a Fraïssé class. JEP and HP are clear.

Consider $\text{Fr}(K) =: (V, \mathbb{F}_p, \beta) =: \mathcal{M}$. We know that $\text{Th}(\mathcal{M})$ is ω -categorical with QE.

It satisfies the following axioms:

- Symmetric bilinear form on \mathbb{F}_p -vector spaces.
- Given $\lambda_1, \dots, \lambda_n \in \mathbb{F}_p$, for all $x_1, \dots, x_n \in V$, iff x_1, \dots, x_n are \mathbb{F}_p -linearly independent, then there exists y such that $\bigwedge_{i=1}^n \beta(x_i, y) = \lambda_i$

This already is a full axiomatization.

Random graph and generic BLF have IP.

Proposition 5.10 (Proposition 5.8’). Let \mathcal{L} be finite and \mathcal{M} countably infinite.

Then the following are equivalent:

- (1) \mathcal{M} has uniformly finite acl and any isomorphism between finite algebraically closed subsets of \mathcal{M} extends to an automorphism $\sigma \in \text{Aut}(\mathcal{M})$.
- (2) $\text{Th}(\mathcal{M})$ is ω -categorical and every \mathcal{L} -formula is equivalent modulo T to a boolean combination of bounded existential formulae. , where bounded existential means $\varphi(\bar{x}) := \exists \bar{y}. \psi(\bar{x}, \bar{y})$, where ψ is quantifier free and such that $\mathcal{M} \models \psi(\bar{a}, \bar{b})$, then $\bar{b} \in \text{acl}(\bar{a})$.

Proof. (2) \implies (1) by Lemma 5.6 and the fact that the formulae of the form in question determine the complete type.

(1) \implies (2): Write down axioms for the extension property of finite acl-closed sets. \square

Index

- κ -Categorical, 12
- ω -Saturated, 15

- Age, 4
- Amalgamation class, 8
- Amalgamation property, 6
- AP, 6
- Atomic, 15

- Complete n -type, 14

- EP, 5
- Extension property, 5

- Finite-orbit closure, 11
- Forest, 6
- Fraïssé class, 8
- Fraïssé sequence, 8

- Henson graph, 9
- Homogeneous, 5, 15

- Isolated, 15
- Isolated mod T , 13

- JEP, 7
- Joint embedding property, 7

- Oligomorphic, 17
- Omitting, 13

- Partial elementary, 15

- Quantifier elimination, 19

- Rich sequence, 8

- Stone topology, 14
- Strong EP, 10

- The random graph, 3
- Tree, 6
- Triangle-free random graph, 9

- Ultrahomogeneous, 5
- Uniformly finite algebraic closure, 19
- Uniformly locally finite, 18

- Witness property, 3